

Small Business Development Program

# Small Business Enterprise Program



TENNESSEE DEPARTMENT OF TRANSPORTATION

Affirmative Action  
Small Business Development  
Title VI

# **49 CFR § 26.39**

**Mandates state DOTs to add a Small Business Enterprise element to their existing DBE Program**

# Purpose

***The purpose of the Small Business Enterprise (SBE) element is to facilitate small business participation in state highway construction projects***

**How will TDOT implement this?**

**By Establishing SBE certification through...**



## Tennessee Department of Transportation

John Schroer, Commissioner



GOV  
Bill  
Visit



TENNESSEE DEPARTMENT OF TRANSPORTATION

Affirmative Action  
Small Business Development  
Title VI

### Civil Rights Office - Small Business Development Program



[Deborah Luter](#), Director  
Phone: (615) 741-3681

The mission of the Civil Rights Office is to ensure adherence to Equal Employment Opportunity, Title VI

Follow Us On



# A Partnership with GoDBE

## Governor's Office of Diversity Business Enterprise



Search

### ATTENTION

#### All Tennessee Small Businesses!

The Tennessee General Assembly has expanded the definition of Tennessee Small Businesses that desire certification as a Small Business Enterprise (SBE). Public Chapter 1135 revised the definition of a Small Business Enterprise with the following definition:

*"Tennessee small business" means a business that is a continuing, independent, for profit business which performs a commercially useful function with residence in Tennessee and has total gross receipts of no more than ten million dollars (\$10,000,00) averaged over a three-year period and employs no more than thirty (30) persons on a full-time basis'.*



Firms interested in small business certification may review Certification Overview page for more details on how to apply.

**We've moved! Effective December 5, 2011** the Governor's Office of Diversity Business Enterprise has moved to a new location. Please see the updated address at the bottom of our web page.

### TN.gov Services

- Renew Driver License
- Renew Health License
- Renew Professional Non-health License
- Reserve at State Parks



For Travelers

For the Public

For Contractors  
and Consultants

For Local

Small Business  
Development

For Program Eligibility

Bond Guar

For Registration

Construction  
Development

Current Procurement  
Opportunities

Small Business  
Development

For Executive Order

For New Business & Tech  
Assistance

Diversity Business  
Certified Directory

Certification Overview

Re-certification

**TDOT will use GoDBE certification. The existing GoDBE definition of a small business enterprise shall be used:**

***[TDOT Small Business Enterprise] means a business that is a continuing, independent, for profit business which performs a commercially useful function with residence in Tennessee and has total gross receipts of no more than ten million dollars (\$10,000,00) averaged over a three-year period and employs no more than thirty (30) persons on a full-time basis.***



**All Small Business Enterprises (SBEs) who have been certified through GoDBE and are working on TDOT contracts will be tracked and recorded by TDOT.**

**Note, TDOT DBEs are automatically considered “Small Business Enterprises” and are therefore considered SBEs for TDOT tracking purposes.**

# **SBE certification status may be removed for any of the following reasons:**

- **Exceeding the gross receipt and business size limit**
- **Failing to renew or maintain SBE status**
- **Attempting to evade or subvert the intent of the SBE program**
- **Submission of fraudulent or falsified information**



# ***GoDBE searchable directory of SBEs***

## ***(Search by SBE name, work type, etc.)***

**Tennessee Go-DBE System**

**Diversity Business Certified Directory**

Search the Tennessee Go-DBE Directory by entering search terms and clicking Search. You must select at least one certification type.

Search results may be downloaded to Excel, by clicking the Download Results to Excel button after your search results are displayed. To download the full Certification Directory do not enter search criteria, scroll to the bottom of the page to click the Download Entire Directory to Excel button.

**Search by Business Name or DBA**

Business Name/DBA	<input type="text"/>
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Tip: Try just a few letters of the firm's name.

**Search by Business Description**

Business Description	<input type="text"/>
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**Search by Commodity Code**

Commodity Code	<a href="#">Click to Lookup Commodity Code</a> (popup window will appear to browse and search available Commodity Codes)
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**Search by Contact Person**

Contact Person/Owner	First name	Last name
	<input type="text"/>	<input type="text"/>

# ***SBE designation to be included on TDOT Subcontractor Form (for tracking)***

Prime Contractor _____	Contract No. _____											
Date _____	Signature _____	Print Name and Title _____										
<p>Signature by the prime contractor is certifying: (1) that a written subcontract exists containing the items and quantities listed herein and all requirements and pertinent provisions of the prime contract, and (2) that no work included in the written subcontract has or will be performed prior to approval by the Department. (3) If the project is federally funded, then form FHWA 1273 must be physically included in all sub-contracts, including 2nd tier, and cannot be referenced.</p>												
Subcontractor _____												
The above statement of Subcontract is presented with my knowledge and consent:												
<p>The subcontractor named on this form is (CHECK ONE)</p> <table style="width: 100%;"><tr><td><input type="checkbox"/> A Certified DBE</td><td><input type="checkbox"/></td></tr><tr><td><input type="checkbox"/> Woman-owned</td><td><input type="checkbox"/> Minority-owned</td></tr><tr><td><input type="checkbox"/> Minority owned, not certified DBE</td><td></td></tr><tr><td><input type="checkbox"/> Woman-owned, not certified DBE</td><td><b>Go-DBE certified small business</b></td></tr><tr><td><input type="checkbox"/> Is not a Minority Subcontractor</td><td></td></tr></table>			<input type="checkbox"/> A Certified DBE	<input type="checkbox"/>	<input type="checkbox"/> Woman-owned	<input type="checkbox"/> Minority-owned	<input type="checkbox"/> Minority owned, not certified DBE		<input type="checkbox"/> Woman-owned, not certified DBE	<b>Go-DBE certified small business</b>	<input type="checkbox"/> Is not a Minority Subcontractor	
<input type="checkbox"/> A Certified DBE	<input type="checkbox"/>											
<input type="checkbox"/> Woman-owned	<input type="checkbox"/> Minority-owned											
<input type="checkbox"/> Minority owned, not certified DBE												
<input type="checkbox"/> Woman-owned, not certified DBE	<b>Go-DBE certified small business</b>											
<input type="checkbox"/> Is not a Minority Subcontractor												
as defined in Section 101 of the Tennessee Department of Transportation Standard Specifications.												
Subcontractor's Telephone Number: _____												
Subcontractor's Employee Identification Number: _____												

# ***SBE designation to be included on Prequalified Contractor List (to facilitate usage by Prime Contractors)***

## **TDOT PREQUALIFIED CONTRACTORS**

**UPDATED 02-09-2012**

**3M Company**  
3M Center  
Building 235-3A-09  
St. Paul, MN 55144  
651-736-7616 FAX 651-733-5012  
Expiration Date: 12/31/2011  
**Certified Small Business/Go-DBE**  
Work Class: INCI PVMK

**4J Construction Company, Inc.**  
333 North Main Street  
Dyer, TN 38330  
731-692-2526 FAX 731-692-2528  
Expiration Date: 12/31/2011  
Certified DBE  
  
Work Class: CONC FLAT NONR RIPR  
WARW

**A & A Safety, Inc.**  
1126 Ferris Road  
Amelia, OH 45102  
513-943-6100 FAX 513-943-6106  
Expiration Date: 12/31/2012  
  
Work Class: BARR GAT PVMK TRFP  
TRFT

**A & H Safety Supply Div. A-1**  
Barricades Inc.  
624 Snow Street  
Chattanooga, TN 37405  
423-265-3054 FAX 423-265-7721  
Expiration Date: 9/1/2012  
  
Work Class: TRFP TRFT

**A & W Leasing Corporation**  
4991 Highway 423  
McKenzie, TN 38201  
731-352-3658 FAX 731-352-3658  
Expiration Date: 12/31/2011  
  
Work Class: FNCE LAND MOWL  
NONR RMVL SALT SWPD

**A C Blackford Painting Contractors**  
265 Hemby Lane  
Henderson, TN 38340  
731-697-9734 FAX 731-989-3656  
Expiration Date: 12/31/2011  
  
Work Class: NONR

## ***SBE Marketing:***

**TDOT will promote and market the SBE Program, as well as ensure easy and direct access to program information, through outreach efforts on the website, through electronic and print media, through Industry partners, and through other mediums.**

**Although SBEs are ineligible for Supportive Services, there are other resources available**

**TDOT's *State Contracting Opportunities and Assistance* webpage provides support for small businesses with procurement and business assistance:**

**<http://www.tdot.state.tn.us/civil-rights/smallbusiness/docs/SWBE.pdf>**

***The FHWA-funded “supportive service program” is intended to be used only to assist DBEs. Recipients should not include services to non-DBEs as part of that program.***

***USDOT, <http://www.osdbu.dot.gov/dbeprogram/dbeqna.cfm>***

**For more information on the SBE Program,  
contact TDOT's Civil Rights Office Small Business  
Development Program at 615-741-3681 *or* 888-  
370-3647:**

**For information on SBE certification and  
regulations, GoDBE may be contacted at 615-  
253-4657**